SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burder 0.5 hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Dennis Donald Lee (Last) (First) (Middle) HUNTINGTON CENTER			<u>HU</u> HBA 3. Da	2. Issuer Name and Ticker or Trading Symbol HUNTINGTON BANCSHARES INC /MD/ [HBAN] 3. Date of Earliest Transaction (Month/Day/Year) 11/15/2022			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Executive V.P.			
41 S. HIGH STREET			4. lf /	4. If Amendment, Date of Original Filed (Month/Day/Year)			6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street)							X		one Reporting Per Nore than One Re	
COLUMBUS	OH	43287								
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1 Title of Security (Instr. 3)			2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or	5. /	Amount of	6. Ownership	7. Nature of

Execution Date, Transaction Disposed Of (D) (Instr. 3, 4 and 5) Securities Form: Direct (D) Indirect Date (Month/Dav/Year) Beneficially Owned if any Code (Instr. or Indirect (I) Beneficial (Month/Day/Year) (Instr. 4) Following Reported Ownership (Instr. 8) Transaction(s) 4) (A) or (Instr. 3 and 4) v Price Code Amount (D) S Common Stock 11/15/2022 2,100 D \$15.136 26,759.9(1) D By Executive Deferred Common Stock 5,995,48(2) T Compensation Plan⁽³⁾ By Issuer's Investment and Tax 937.54(4) Common Stock T Savings Plan (401(k))Plan)(3) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 6. Date Exercisable and 7. Title and Amount of 9. Number of 11. Nature 1. Title of 3. Transaction 3A. Deemed 8. Price of 10. Conversion Transaction of Indirect Derivative Date Execution Date. Derivative Expiration Date Securities Underlying Derivative derivative Ownership Security (Instr. 3) or Exercise Code (Instr. Securities (Month/Day/Year) **Derivative Security** Securities Form: (Month/Day/Yea if any Security Beneficial Price of (Month/Day/Year) 8) Acquired (A) (Instr 3 and 4) (Instr 5) Beneficially Direct (D) Ownership Derivative or Disposed of Owned or Indirect (Instr. 4) (D) (Instr. 3, 4 and 5) Security Following (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) or

Explanation of Responses:

1. Total reflects the transfer of shares from directly-owned to the Executive Deferred Compensation Plan and includes accrued dividend equivalents reflecting exempt automatic reinvestment of dividends on awards of restricted stock units.

Date

Exercisable

Expiration

Title

Date

2. Total reflects the transfer of shares from directly-owned to the Executive Deferred Compensation Plan and includes the exempt acquisition of shares via the automatic reinvestment of dividends.

(A)

v

Code

3. The filing of this statement shall not be construed as an admission that the undersigned is, for the purpose of Section 16 of the Securities and Exchange Act of 1934 or otherwise, the beneficial owner of the securities. 4. Total includes the exempt acquisition of shares via the automatic reinvestment of dividends.

(D)

Anne Kruger, Attorney-in-Fact	<u>11/17/2022</u>
** Signature of Reporting Person	Date

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.