SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] <u>TORGOW GARY</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol HUNTINGTON BANCSHARES INC /MD/ | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|--------------------|----------------|------------|---|---|------------------------------|---------------------------------|----------------|---|---------------|--|---|-----------------------------|----------------|------------|--|
| | | | | | HBA | N] | | | | X | | | 10% Owner | | | |
| (Last) HUNTINGTO | (First) N CENTE | · · | (Middle) | | | of Earliest Transa | ction (Mo | onth/D | ay/Year) | | Officer (below) | give title | Other (specify below) | | | |
| 41 S. HIGH STREET | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| (Street) COLUMBUS OH 43287 | | | | | | | | | | | | Form file | ed by More | than One Repor | ing Person | |
| (City) | (State) | (Z | lip) | | | | | | | | | | | | | |
| | | Та | able I - N | on-Deri | vative | Securities Ac | quired | d, Dis | sposed of, | or Bene | eficially O | wned | | | | |
| 1. Title of Security (Instr. 3) Date (Month/D | | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Of (D) (Instr. | A) or Disposed | isposed 5. Amount of Securities Beneficially Ow Following Repo Transaction(s) | | 6. Ownership Form: Direct (D or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | | | |
| | | | | | | | Code | v | Amount | (A) or (D) | Price | (Instr. 3 a | | | (Instr. 4) | |
| Common Stock 10/ | | | | 10/25/ | 2022 | | Р | | 16,835 | Α | \$14.7541 | ¹⁾ 910,1 | 35.952 | D | | |
| Common Stock | | | | | | | | | | 1, | 114 | Ι | By Spouse ⁽²⁾ | | | |
| | | | Table II | | | ecurities Acqualls, warrants | | | | | - | ned | | | | |
| 1. Title of | 2. | 3. Transaction | 3A. Deem | | 1. Francosti | 5. Number of | f 6. Date Exer | | | | 7. Title and Amount of | | 9. Numbe | | 11. Nature | |

| | | | Execution Date, if any (Month/Day/Year) | Code (Instr. | | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | Expiration Day/ | ate | Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security (Instr. 5) | derivative Securities Beneficially Owned | Ownership Form: Direct (D) or Indirect | of Indirect Beneficial Ownership (Instr. 4) | |
|--|--|----------|---|--------------|-----|--|---------------------|--------------------|-------|--|--|--------------------------------------|---|---|--|--|
| | | Security | | | | | | | | | | | Reported | (I) (Instr. 4) | | |
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | | | |

Explanation of Responses:

1. This transaction was executed in multiple trades ranging from \$14.73 to \$14.785. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.

2. The filing of this statement shall not be construed as an admission that the undersigned is, for the purpose of Section 16 of the Securities and Exchange Act of 1934 or otherwise, the beneficial owner of the securities.

Kirk D. Johnson, Attorney-in-Fact 10/27/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.